



Organization for Security and
Co-operation in Europe



Eurasian Group on Combating
Money Laundering and Financing of
Terrorism



Department on Struggle Against Tax,
Currency Crimes and Legalization of
Criminal Incomes under the
Prosecutor General's Office of the
Republic of Uzbekistan



International Monetary Fund



The World Bank



International Training and
Methodology Centre for Financial
Monitoring

Workshop on the Revisions to the International Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) Standards

Agenda

12-14 March, 2013

Navoi Conference Hall (7th floor), International Business Centre
Tashkent, Uzbekistan

TUESDAY, 12 MARCH

08:30 – 09:00 **REGISTRATION**

09:00 – 09:30 **WORKSHOP OPENING**

Speakers: **Mr. Bakhodir Zilyaev**, Acting Head of Department on Struggle Against Tax, Currency Crimes and Legalization of Criminal Incomes under the Prosecutor General's Office of the Republic of Uzbekistan
Mr. Jens Rasmussen, Acting Head of Mission, OSCE Project Co-ordinator in Uzbekistan
Mr. Vladimir Nechaev, Vice-President of FATF
Mr. Boris Toropov, Executive Secretary of EAG
Mrs. Galina Bobrysheva, Director General of ITMCFM.

- Overview of objectives, content and structure of the sessions, participants' tour de table

09:30-10:00 **UZBEKISTAN'S AML/CFT SYSTEM OVERVIEW**

Speaker: **Mr. Shukhrat Khaydarov**, Deputy Chairman of the Central Bank of Uzbekistan

- 10:00 – 10:30** **SESSION 1: OVERVIEW**
- 10:30- 11:00** **SESSION 2: AML/CFT POLICIES AND COORDINATION**
- Speaker: Mr. Evgeny Volovik**, Head of Information and Communication Department, ITMCFM
- Selected topics:**
- Assessing risks & applying a risk-based approach (R. 1)
- Q & A**
- 11:00 – 11:30** **BREAK**
- 11:30 – 12:30** **SESSION 3: AML/CFT POLICIES AND COORDINATION (CONT'D)**
- Speaker: Mr. Evgeny Volovik**, Head of Information and Communication Department, ITMCFM
- Selected topics:**
- Assessing risks & applying a risk-based approach (R. 1 cont'd)
 - National cooperation and coordination (R. 2)
- Q & A**
- 12:30 – 13:30** **LUNCH**
- 13:30 – 14:30** **SESSION 4: MONEY LAUNDERING AND CONFISCATION**
- Speaker: Mr. Giuseppe Lombardo**, Senior Counsel, IMF
- Selected topics:**
- Money laundering offence (R. 3)
 - Confiscation and provisional measures (R. 4)
- Q & A**
- 14:30 – 16:00** **SESSION 5: TERRORIST FINANCING AND FINANCING OF PROLIFERATION**
- Speaker: Mr. Giuseppe Lombardo**, Senior Counsel, IMF
- Selected topics:**
- Terrorist financing offence (R. 5)
 - Targeted financial sanctions related to terrorism & terrorist financing (R. 6)

- Targeted financial sanctions related to proliferation (R. 7)
- Non-profit organizations (R. 8)

Q & A

16:00 – 16:30 **BREAK**

16:30 – 18:00 **SESSION 6: PREVENTATIVE MEASURES –
CUSTOMER DUE DILIGENCE AND RECORD KEEPING**

Speaker: Mr. Paul Ashin, Senior Financial Sector Expert, IMF

Selected topics:

- Financial institution secrecy laws (R. 9)
- Customer due diligence (R. 10)
- Record keeping (R. 11)

Q & A

18:30 **RECEPTION DINNER
HOSTED BY THE GOVERNMENT OF UZBEKISTAN**

WEDNESDAY, 13 MARCH

09:00 – 11:00 **SESSION 7: ADDITIONAL MEASURES FOR SPECIFIC CUSTOMERS
AND ACTIVITIES**

Speaker: Mr. Paul Ashin, Senior Financial Sector Expert, IMF

Selected topics:

- Politically exposed persons (R. 12)
- Correspondent banking (R. 13)
- Money or value transfer services (R. 14)
- New technologies (R. 15)
- Wire transfers (R. 16)

Q & A

11:00 – 11:30 **BREAK**

11:30 – 13:00

SESSION 8: RELIANCE, CONTROLS AND FINANCIAL GROUPS

Speaker: Mr. Paul Ashin, Senior Financial Sector Expert, IMF

Selected topics:

- Reliance on third parties (R. 17)
- Internal controls and foreign branches and subsidiaries (R. 18)
- Higher-risk countries (R. 19)

Q & A

13:00 – 14:00

LUNCH

14:00 – 14:45

SESSION 9: REPORTING OF SUSPICIOUS TRANSACTIONS

Speaker: Mr. Klaudijo Stroligo, Senior Financial Sector Specialist at World Bank

Selected topics:

- Reporting of suspicious transactions (R. 20)
- Tipping-off and confidentiality (R. 21)

Q & A

14:45 – 16:00

SESSION 10: DESIGNATED NON-FINANCIAL BUSINESSES AND PROFESSIONS (DNFBPS)

Speaker: Mr. Christian Larson, Programme Officer, Office of the Co-ordinator of OSCE Economic and Environmental Activities

Selected topics:

- DNFBPS: Customer due diligence (R. 22)
- DNFBPS: Other measures (R. 23)

Q & A

16:00 – 16:30

BREAK

16:30 – 18:00

SESSION 11: TRANSPARENCY AND BENEFICIAL OWNERSHIP OF LEGAL PERSONS AND ARRANGEMENTS

Speaker: Mr. Giuseppe Lombardo, Senior Counsel, IMF

Selected topics:

- Transparency and beneficial ownership of legal persons (R. 24)
- Transparency and beneficial ownership of legal arrangements (R. 25)

Q & A

18:30

**RECEPTION DINNER
HOSTED BY THE OSCE PROJECT CO-ORDINATOR IN UZBEKISTAN**

THURSDAY, 14 MARCH

09:00 – 10:30

SESSION 12: REGULATION AND SUPERVISION

Speaker: Mr. Christian Larson, Programme Officer, Office of the Co-ordinator of OSCE Economic and Environmental Activities

Selected topics:

- Regulation and supervision of financial institutions (R. 26)
- Powers of supervisors (R. 27)
- Regulation and supervision of DNFBPs (R. 28)

Q & A

10:30 – 11:00

BREAK

11:00 – 12:30

SESSION 13: OPERATIONAL AND LAW ENFORCEMENT

Speaker: Mr. Klaudijo Stroligo, Senior Financial Sector Specialist at World Bank

Selected topics:

- Financial intelligence units (R. 29)
- Responsibilities of law enforcement and investigative authorities (R. 30)
- Powers of law enforcement and investigative authorities (R. 31)
- Cash couriers (R. 32)

Q & A

12:30 – 13:30

LUNCH

13:30 – 15:00

SESSION 14: INTERNATIONAL COOPERATION

Speaker: Mr. Klaudio Stroligo, Senior Financial Sector Specialist at World Bank

Selected topics:

- International instruments (R. 36)
- Mutual legal assistance (R. 37)
- Mutual legal assistance: Freezing and confiscation (R. 38)
- Extradition (R. 39)
- Other forms of international cooperation (R. 40)

Q & A

15:00 – 16:00

INTERACTIVE DISCUSSION

16:00

CULTURAL SIGHTSEEING TOUR ORGANIZED BY THE GOVERNMENT OF THE REPUBLIC OF UZBEKISTAN (FIU)