

AZƏRBAYCAN RESPUBLİKASININ
ATƏT YANINDA
DAİMİ NÜMAYƏNDƏLİYİ



PERMANENT MISSION
OF THE REPUBLIC OF AZERBAIJAN
TO THE OSCE

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No.0248/10/10

The Permanent Mission of the Republic of Azerbaijan to the OSCE presents its compliments to all Delegations and Permanent Missions to the OSCE and to the Conflict Prevention Centre and with reference to the FSC.GAL/38/11, has the honour to provide the requested information in accordance with the Reporting Template for the Implementation of the OSCE Document on Small Arms and Light Weapons and Supplementary Decisions for the year of 2016.

The Permanent Mission of the Republic of Azerbaijan to the OSCE avails itself of this opportunity to renew to all Delegations and Permanent Missions to the OSCE and to the Conflict Prevention Centre of the OSCE the assurances of its highest consideration.

Enclosure: 16 pages.

Vienna, 26 June 2017

**All Delegations and Permanent Missions to the OSCE
The Conflict Prevention Centre of the OSCE**

Vienna



**Reporting Template for the implementation of the
Programme of Action to Prevent, Combat and Eradicate the Illicit Trade
in Small Arms and Light Weapons in All Its Aspects (PoA)**

and the

**International Instrument to Enable States to Identify and Trace,
in a Timely and Reliable Manner, Illicit Small Arms and Light
Weapons (ITI)**

**Reporting Template for the Implementation of
the OSCE Document on Small Arms and Light Weapons
and Supplementary Decisions**

Reporting country: Azerbaijan

Reporting date: 06.06.2017

SECTION I: POINTS OF CONTACT

Source	Question	YES	NO	DK/NO P/ANS
National Coordination Agency				
PoA II.4	1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	a) Name of agency: b) Address: c) Contact details: i) Contact person: ii) Telephone number(s): iii) Fax number iv) Email:			
National Point of Contact				
PoA II.5, 24	2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN <i>Programme of Action on Small Arms</i> (PoA)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	2.1 Details: a) Name: Political-Military Affairs Department b) Organization or agency: Ministry of Foreign Affairs c) Address: 50 Shikhali Gurbanov str. AZ 1009, Baku, Azerbaijan d) Telephone number(s): + 994 12 596 91 43 e) Fax number: +994 12 492 55 65 f) Email: polmil@mfa.gov.az			
ITI 25	2.2 Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the <i>International Tracing Instrument</i> (ITI)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ITI 25	2.3 If the answer to Question 2 is 'no', does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI?			
	2.3.1. Details: a) Name: b) Organization or agency: c) Address: d) Telephone number(s): e) Fax number: f) Email:			
GGE Report para 63(ix)	3. Is the National Point of Contact identified above in either Q. 2 or 2.3 also responsible for exchanging information and liaising on matters relating to brokering in SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	3.1 If not, does your country have a focal point for purposes of exchanging information and liaising on matters relating to brokering in SALW?			
	3.1.1 Details a) Name: b) Organization or agency: c) Address: d) Telephone number(s): e) Fax number: f) Email:			

SALW Doc, Section IV, 1.	4. Is the National Point of Contact identified above in either Q. 2, 2.3 or 3.1.1 also responsible for exchanging information and liaising on matters relating to the OSCE Document on SALW and its supplementary decisions?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	4.1 If not, does your country have a focal point for purposes of exchanging information and liaising on matters relating to the OSCE Document on SALW and its supplementary decisions?			
	4.1.1 Details a) Name: b) Organization or agency: c) Address: d) Telephone number(s): e) Fax number: f) Email:			
FSC.DE C/4/08	5. Is the National Point of Contact identified above in either Q. 2, 2.3, 3.1.1 or 4.1.1 also responsible for exchanging information and liaising on matters relating to OSCE projects on SALW and stockpiles of conventional ammunition?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	5.1 If not, does your country have a focal point for purposes of exchanging information and liaising on matters relating to OSCE projects on SALW and stockpiles of conventional ammunition?			
	5.1.1 Details a) Name: b) Organization or agency: c) Address: d) Telephone number(s): e) Fax number: f) Email:			

SECTION 2: MANUFACTURE

Sources	Question	YES	NO
	Laws, regulations and administrative procedures		
	6. Are there any SALW manufactured in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.2	6.1. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
BPG, Manufac turing, IV (3) SALW Doc, Section II(A).	6.1.1 List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country.		
	<ul style="list-style-type: none"> • Law of the Republic of Azerbaijan on "Service and Civilian Weapons" dated 30 December, 1997, No. 442-IQ; • Decree of the President of the Republic of Azerbaijan regarding the implementation of the "Law on Service and Civilian Weapons" dated 24 August, 2002, No. 769, approving numerous regulations on circulation and use of such weapons; • Decree of the President of the Republic of Azerbaijan on "Measures on improvement of licensing of certain types of entrepreneurship in the Republic of Azerbaijan" dated 28 March 2000, No.310; • Regulations of the Ministry of Defense Industry. 		
	6.1.2 Does your country licence the manufacture of SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If yes, 6.1.2.1 Are licenses specific to location and non-transferrable?		
	6.1.2.2 Are the licenses limited in the period of validity?		

BPG, Manufacturing, IV (1)	<p>6.1.2.3 Is approval of storage facilities a prerequisite for obtaining a manufacturing license?</p> <p>6.1.2.4 Are there exceptions when licensing is not mandatory for SALW manufacturers? If so, describe</p> <p>6.1.2.5 How does your country monitor SALW manufacturers?</p>		
PoA II.3	6.1.3 Is illegal manufacture of SALW considered a criminal offence in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Marking at manufacture			
PoA II.7; ITI 8a	6.2. Does your country require that SALW be marked at the time of manufacture?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ITI 8a	<p>6.2.1. What information is included in the marking (check relevant boxes)?</p> <p>a) Name of the manufacturer</p> <p>b) Country of manufacture</p> <p>c) Serial number</p> <p>d) Year of manufacture</p> <p>e) Weapon type/model</p> <p>f) Caliber</p> <p>g) Proofing</p> <p>h) Other</p>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
ITI 10	<p>6.2.2. What part of the SALW is marked? Receiver</p> <p>6.2.3 Are there exceptions to the requirement to mark SALW at the time of manufacture? 6.2.3.1 If so, describe</p>		
OSCE SALW Doc, Section II (B), I	6.2.4 Is it necessary for small arms manufacturers under your authority outside your country's territory to apply markings to the same standard as in your country?		
Record-keeping by manufacturers			
PoA II.9; ITI 11	6.3. Does your country require that manufacturers keep records of their activities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ITI 12a	<p>6.3.1. What information must be recorded (check relevant boxes)?</p> <p>a) Quantity of SALW manufactured</p> <p>b) Type or model of SALW manufactured</p> <p>c) Markings applied to manufactured SALW</p> <p>d) Transactions (e.g. sales of manufactured and marked SALW)</p> <p>e) Other</p> <p>6.3.2. How long must manufacturing records be kept?</p> <p>a) Indefinitely</p> <p>b) 30 years</p> <p>c) Other 10 years</p>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Actions taken during the reporting period			
PoA II.6	6.4. During the reporting period, was action taken against groups or individuals engaged in		

	illegal manufacturing of SALW (e.g. prosecution)?		
	4.4.1. Details.		
International assistance			
PoA III.6	7. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures?		
	7.1 What kind of assistance do you require?		
	7.2 Has your country developed a project proposal for assistance?		

SECTION 3: INTERNATIONAL TRANSFERS

Sources	Question	YES	NO
Laws, regulations and administrative procedures			
PoA II.2. 12	8. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	8.1. List laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.		
	<ul style="list-style-type: none"> • Civil Code of the Republic of Azerbaijan dated 28 December 1999, No. 779-IQ; • Criminal Code of the Republic of Azerbaijan dated 30 December 1999, No. 787-IQ; • Code of Administrative Offences of the Republic of Azerbaijan dated 11 July 2000, No. 906-IQ; • Customs Code of the Republic of Azerbaijan dated 24 June 2011, No. 164-IVQ; • Law of the Republic of Azerbaijan on "Service and civilian weapons" dated 30 December, 1997, No. 442-IQ; • Law of the Republic of Azerbaijan on "Export Control" dated 26 October 2004, No. 772-IIQ; • Decree of the President of the Republic of Azerbaijan dated 24 June, 1997, No. 609 on approval of "Guidelines on regulating import-export operations in the Republic of Azerbaijan"; • Decree of the President of the Republic of Azerbaijan on "Measures on improvement of licensing of certain types of entrepreneurship in the Republic of Azerbaijan" dated 28 March 2000, No.310; • Decree of the President of the Republic of Azerbaijan on approval of "Guidelines on import of service and civilian weapons to, and export from the Republic of Azerbaijan and "Guidelines on selling service and civil weapons in the territory of the Republic of Azerbaijan" dated 24 August 2002, No. 769; • Decree of the President of the Republic of Azerbaijan on "Additional measures for regulating turnover of the articles restricted from civil circulation" dated 12 September 2005, No. 292; • Other normative acts approved by the Decision of the Cabinet of Ministers of the Republic of Azerbaijan dated 15 December 2005, No. 230: <ul style="list-style-type: none"> - "Export control regimes of the goods (works, services, results of intellectual property) subject to export control for certain states"; - "Guidelines on implementation of export control"; - "The list of state agencies involved in verification prior to, and after granting the special permission (license), exercising control over the use of the dual-use goods in conformity with declared purposes, carrying out export control and granting special permission for goods, subject to export control, in accordance with their nomenclature, as well as, works, services, results of intellectual property in accordance with their category and section as envisaged in the legislation"; - "Guidelines on granting special permission on the goods (works, services, results of intellectual property) subject to export control"; - "Guidelines of verification prior to, and after granting the special permission for foreign economic activities over the goods (works, services, results of intellectual property) subject to export control"; - "Guidelines on exercising control over the use of dual-use goods (works, services, and results of intellectual property) in accordance with declared purposes". • Decision of the Cabinet of Ministers of the Republic of Azerbaijan on approval of "The list of the goods, subject to export control, as well as, works, services, results of intellectual property by their codes in accordance with their nomenclature" dated 9 February 2006, No. 42. 		

Licencing and authorization			
PoA II.11	8.2. Does a person or an entity who transfers SALW require a licence or other form of authorization to transfer SALW from/into your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.3	8.3. Is it a criminal offence to trade SALW without a licence or authorization, or to do so in a manner that is in contradiction to the terms of a licence or authorization, in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
OSCE SALW Doc	8.4 What penalties does your country apply for trading SALW in violation of United Nations Security Council Resolutions? 1) Administrative sanctions 2) Criminal penalty 3) Other		
PoA II.11	8.5. Name the relevant international commitments that your Government applies or considers when assessing an application for export authorization. <ul style="list-style-type: none"> • Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, approved by the Law of the Republic of Azerbaijan No. 750-IIQ, dated 10 September, 2004; • Relevant United Nations Security Council Resolutions; • United Nations Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects; • OSCE Document on Small Arms and Light Weapons and supplementary decisions. 		
OSCE SALW Doc, Section III (A)	8.6 What other criteria does your country take into account when considering exports of SALW and technology related to their design, production, testing and upgrading (i.e. respect for human rights and fundamental freedoms)?		
BPG, Export, IV.1	8.7 Do exporters in your country require government's consent to enter into negotiations with a potential importer?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	8.8. What kind of documentation does your country require prior to authorizing an export of SALW to another country?		
PoA II.12	a) An end-user certificate (EUC) from the importing country i) What elements does an end-user certificate in your country contain (check relevant boxes)? 1) Detailed description (type, quantity, characteristics) of the SALW or technology 2) Contract number or order reference and date 3) Final destination country 4) Description of the end-use of the SALW 5) Exporter's details (name, address and business name) 6) End-user information (name, position, full address and original signature) 7) Information on other parties involved in the transaction 8) Certification by the relevant government authorities of the authenticity of the end-user 9) Date of issue and register number and the duration of the EUC 10) Assurances of use only by end-user and for the stated end-use 11) Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>
FSC.DE C/5/04	b) Other types of end-user documentation		

FSC.DE C/12/08	8.9. Has your country provided a sample end user certificate to the OSCE? 6.9.1 If not, have you attached a copy of the end-user certificate to this report?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	8.10. What types of licences does your country issue? a) Individual licences b) General licences		
BPG, Export, IV.5	8.11. What is the period of validity for licences?		
	8.12. When exporting, does your country place any restriction on re-export of SALW? If so, what are the restrictions placed on re-export? a) Re-export permitted only when there is prior notification b) Re-export permitted only when there is prior approval c) Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.12	8.13. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided? 8.13.1 Details:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	8.14. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation? 8.14.1 Details:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	8.15. Does your country permit the export of SALW without a licence or under simplified procedure under certain circumstances? 8.15.1 If so, under what circumstances? a) Peacekeeping b) Temporary exports c) Equipment needed for training exercises d) Equipment needed for repair e) Delivery of spare parts f) Other	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Post delivery controls			
	9.16. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?		
	9.17. After exporting, does your country verify or seek to authenticate DVCs provided? 9.17.1. Details		
	9.18. When importing, does your country grant the right to exporting State to conduct physical check at point of delivery?		
Marking at import			
ITI 8b	9.19. Does your country require that SALW imported into your country be marked at the time of import?		
ITI 8b	9.19.1. Who is required to mark the SALW? 9.19.2. What information is included in the marking on import (check relevant boxes)? a) Country of import b) Year of import c) Other		
ITI 8b	9.19.3. Are there exceptions to the requirement to mark imported SALW? 9.19.3.1. If so, describe.		
ITI 8b	9.19.4. If SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking? 9.19.4.1 Details:		
OSCE/ SALW Doc,	9.20. Under what circumstances does your country permit transfer or re-transfer of unmarked SALW?		

Section III (B), 7		
Record Keeping		
PoA II.9; ITI 12	9.21. Does your country require that exporters and importers of SALW keep records of their activities?	<input checked="" type="checkbox"/> <input type="checkbox"/>
ITI 12b	9.21.1. What information must be recorded (check relevant boxes)?	
	a) Quantity of SALW traded	<input checked="" type="checkbox"/> <input type="checkbox"/>
	b) Type or model of SALW traded	<input checked="" type="checkbox"/> <input type="checkbox"/>
	c) Markings appearing on transferred SALW	<input checked="" type="checkbox"/> <input type="checkbox"/>
	d) Transactions	<input checked="" type="checkbox"/> <input type="checkbox"/>
	i) Identity of buyer/seller	<input checked="" type="checkbox"/> <input type="checkbox"/>
	ii) Country SALW are to be delivered to or purchased from	<input checked="" type="checkbox"/> <input type="checkbox"/>
	iii) Date of delivery	<input checked="" type="checkbox"/> <input type="checkbox"/>
	e) Other	
		9.21.2. How long must records of transfers be kept?
	a) Indefinitely	
	b) 20 years	
	c) Other	
Actions taken during the reporting period		
PoA II.6	9.22. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?	
	9.22.1 Details.	
International assistance		
PoA III.6	10. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	
	10.1. What kind of assistance do you require?	
	10.2 Has your country developed a project proposal for assistance?	

SECTION 4: BROKERING (in accordance with FSC Decision 17/10)

Sources	Question	YES	NO
Laws, regulations and administrative procedures			
PoA II.14	11. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW? <i>Comment: Currently, Azerbaijan does not have a specific law concerning the control of SALW brokering. However relevant principles and norms on controlling arms transactions (SALW transactions are not particularly identified by legislation) are enshrined in the national legislation of the Republic of Azerbaijan.</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	11.1. List laws and/or administrative procedures regulating SALW brokering in your country.		
	11.1.1 Are those laws and procedures part of the national export control system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
FSC.DE C/8/04	11.2. Does your country have a definition of brokering activities of persons and entities? 11.2.1 If yes, provide the definition.		
	11.3. Does your country require brokers to register before they can apply for brokering licences?		

	11.4. Does your country make a background check on past involvement in illicit activities before registering a broker or issuing a brokering licence?		
	11.5. Does your country require registration of SALW brokers?		
FSC.DE C/8/04	11.6. Does your country require a licence for SALW brokering activities on the territory of your country regardless of the nationality of the broker?		
	11.7. Does your country control brokering activities outside your territory carried out by brokers of your country's nationality?		
	11.8. Does your country control brokering activities outside your territory carried out by non-citizen residents who are established on your country's territory?		
BPG, Brokerin g, V.1	11.9. What is the policy for deciding on the competent State to consider licence application and control?		
FSC.DE C/8/04	11.10. Does your country have a requirement for end-use documentation before authorizing each brokering activity?		
	11.10.1 If so, describe		
	11.11. Does your country require a licence, permit or other authorization for each brokering transaction?		
GGE Report para 44	11.11.1 Are such applications for a licence, permit or other authorization considered for approval on a case-by-case basis?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	11.11.2 Are there exceptions to the requirement to hold a licence or authorization for a brokering transaction? 11.11.2.1 Details (e.g. if the transaction is on behalf of the police or armed forces or other government officials)		
	11.11.3 What are the criteria for granting a licence, permit or other authorization?		
BPG, Brokerin g, V.3	11.11.4 Is ex post facto licencing possible? 11.11.4.1 If yes, under which conditions?		
	11.12. Does your country have measures to validate the authenticity of documentation submitted by the broker?		
	11.12.1 Describe those measures.		
FSC.DE C/8/04	11.13. Does your country keep records of all issued licences or written authorizations?		
	11.13.1 If yes, how long are the records kept for?		
	a) Indefinitely		
	b) 10 years		
	c) Other		
BPG, Brokerin g, V.4 (ii)	11.14. Does your country require brokers to report regularly on their activities?		
	11.14.1 If so, describe		
	11.15. Is it a criminal offence to engage in a SALW brokering transaction without a licence or authorization, or to do so in a manner that is in contradiction to the terms of a licence or authorization, in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	11.16. Does your country share with other States such information as the disbarment of brokers and revocation of registration?		
	11.17. Does your country regulate activities that are closely associated with the brokering of SALW?		
	11.17.1. If so which of the following activities are regulated (check relevant boxes)?		
	a) acting as dealers or agents in SALW		
	b) Providing technical assistance		
	c) Training		
	d) Transport		
	e) Freight forwarding		
	f) Storage		
	g) Finance		
	h) Insurance		

	i) Maintenance		
	j) Security		
	k) Other services		
	11.18. What penalties or sanctions does your country impose for illegal brokering activities?		
	<p>In accordance with article 206.2 of the Criminal Code of the Republic of Azerbaijan, carrying weapons and military technologies (except for the smooth-bore hunting weapon and its ammunition), fire-arms or ammunition through customs border of Azerbaijan via bypassing or by concealment from the customs control, or deceiving by falsifying necessary documents or means of customs identification, or by under-declaring, or false declaring is punished by imprisonment for the term from three up to seven years with confiscation of property or without it.</p> <p>In accordance with article 224.2 of the Criminal Code, export of the goods (works, services, results of intellectual property), subject to export control, to the countries and end users exposed to prohibitions or restrictions is punished by corrective works for the term from one up to two years, or by restriction of freedom for the term up to three years, or by imprisonment for the term from two up to five years.</p> <p>In accordance with article 232 of the Administrative Offences Code of the Republic of Azerbaijan, the employees of the trade companies (entities) and legal entities selling civilian weapons and ammunitions to the citizens, companies, institutions and organizations which have not acquired special permission from the Ministry of Internal Affairs of the Republic of Azerbaijan are subject to financial penalties.</p> <p>In accordance with article 347 of the Administrative Offences Code of the Republic of Azerbaijan, physical persons violating the rules of production and export of the civilian weapons prescribed in the legislation are subject to financial penalties.</p>		
	Actions taken during the reporting period		
	11.19. During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?		
	11.19.1 Details.		
	International Assistance		
PoA III.6	12. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering?		
	12.1. What kind of assistance do you require?		
	12.2. Has your country developed a project proposal for assistance?		
	12.3. Does your country require training on controlling brokering activities in SALW?		

SECTION 5: STOCKPILE MANAGEMENT

Sources	Question	Yes	No
Laws, regulations and administrative procedures			
PoA II.17	13. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other body authorized to hold SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.17	13.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?		
	a) Appropriate locations for stockpiles	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	b) Physical security measures	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	c) Control of access to stocks	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	d) Inventory management and accounting control	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	e) Staff training	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	f) Security, accounting and control of SALW held or transported by operational units or authorized personnel	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	g) Procedures and sanctions in the event of theft or loss	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	h) Measures needed to provide adequate protection in emergency situations	<input checked="" type="checkbox"/>	<input type="checkbox"/>

	i) Other		
Characteristics of stockpile management and security of military stocks			
OSCE SALW Doc, Section IV (B)	14. Stockpile location:		
	14.1. How is a formal assessment of surroundings made when choosing a location for stockpiles?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
OSCE SALW Doc, Section IV (B)	15. Physical security measures:		
	15.1. Is security assessment conducted for each stockpile?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	15.2. Is SALW and ammunition always stored separately in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	15.2.1 If no, in what cases is SALW and ammunition can be stored together?		
OSCE SALW Doc, Section IV (B)	16. Access control measures:		
	16.1. Describe your country's policies regarding access controls at storage sites.		
	16.2. Does your country require full records of access to be maintained?		
OSCE SALW Doc, Section IV (B)	17. Inventory management:		
	17.1. Is there a system in place in your country to manage inventory of SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	17.1.1 If yes,		
	a) Is the system computerized?		
	b) How long are the records of access to be maintained?		
	i) Indefinitely	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	ii) Other		
	18. Security Plan:		
	18.1. Does each SALW storage site have a security plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
OSCE SALW Doc, Section IV (B)	19. Emergency situations and training:		
	19.1. Has your country developed measures to provide protection in emergency situations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	19.2. Are there regular sessions provided to personnel at storage sites on regulations behaviour and procedures related to security?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Surplus			
PoA II.18	20. Are there systems in place to conduct reviews stocks of SALW held by armed forces, police and other authorized bodies to identify surplus or obsolete SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	21. How often/frequently are these reviews conducted? Twice a year		
	22. Do you include inoperable/unrepairable weapons in 'surplus' for the purposes of this questionnaire?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

	23. Do you include obsolete weapons (weapons that no longer meet operational standards) in "surplus" for the purposes of this questionnaire? 23.1. If no, describe your policy with regard to obsolete weapons, if any.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	24. Are inoperable/unrepairable weapons categorized as "surplus weapons" in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.18	25. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?		
	a) Officially declare as surplus	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	b) Take out of service	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	c) Record by type, lot, batch, and serial number	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	d) Store separately		
	e) Other		
PoA II.19	26. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?		
	a) Destruction	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	b) Sale to another State		
	c) Donation to another State		
	d) Transfer to another state agency		
	e) Sale to civilians		
	f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)		
	g) Other		
PoA II.19	26.1. If (a) Destruction is checked for Q.26.a, which of the following methods are used (check relevant boxes)?		
	i) Burning or melting	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	ii) Open-pit detonation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	iii) Cutting/shredding		
	iv) Bending/crushing		
	v) Dumping at sea		
	vi) Burial on land		
	vii) Disassembly vii) Other		
	27. Describe the SALW destruction process(es) applied in your country.		
Actions taken during the reporting period			
PoA II.19	28. During the reporting period, has your country destroyed surplus stocks?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.20	28.1. How many SALW were destroyed? Include details on destruction.		
	28.2. Were any of these destruction activities carried out in public?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	28.3. Any further comments regarding destruction?		
International Assistance			
PoA II.29; III.6	29. Does your country wish to request assistance in developing standards and procedures?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	29.1. What kind of assistance do you require?		
	29.2. Has your country developed a project proposal for assistance?		
PoA III.6; 14	30. Does your country wish to request assistance in developing capacity for destruction of weapons?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	30.1. What kind of assistance do you require?		
	30.2. Has your country developed a project proposal for assistance?		
	31. Does your country wish to request assistance in building capacity for storage of weapons?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	31.1. What kind of assistance do you require?		

31.2. Has your country developed a project proposal for assistance?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
32. Does your country wish to receive training in stockpile management and security and/or destruction of weapons?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

SECTION 6: CONFISCATION, SEIZURE & COLLECTION

Sources	Question		
Confiscation and seizure			
PoA II.23a	33. During the reporting period, has your country found, seized or confiscated any SALW under its jurisdiction?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PoA II.23a PoA II.16	33.1 How many SALW were found, seized or confiscated? During the reporting period (2016) law enforcement agencies have identified 299 cases of illicit manufacturing, acquisition, trafficking, sale, storage, transportation and maintaining of firearms. 799 pieces of firearms have been found, seized or confiscated. 38 pieces of firearms have been seized at the borders.		
	33.2. What action was taken with respect to the SALW found, seize or confiscated (check relevant boxes)?		
	a) Stored securely pending further action	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	b) Marked	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	c) Registered or recorded	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	d) Destroyed	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	e) Other	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Collection			
	34. During the reporting period, did your country collect any SALW?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PoA II.21	34.1. What was the nature of the collection exercise?		
	a) Buyback programme for civilian-held SALW		
	b) Weapons amnesty for civilian-held SALW		
	c) Disarmament, Demobilization & Reintegration (DDR)		
	d) Weapons for Development (WiD) programme		
	34.2 How many SALW were collected?		
	34.3. What action was taken with respect to the SALW collected (check relevant boxes)?		
	a) Stored securely pending further action		
	b) Marked		
	c) Registered or recorded		
	d) Destroyed		
	e) Other		
PoA II.23a	35. How many of the SALW found, seized, confiscated or collected, as reported in Questions 33.1 and 34.2 were destroyed?		
	Revolvers and self-loading pistols – 79		
	Sub-machine gun – 26		
	Rifles and carbines – 494		
	Light machine guns – 1		
	Automatic (pistol) - 3		
	Total: 603		

International Assistance			
PoA III.6	36. Does your country wish to request assistance in building capacity for confiscation and seizure of the illicit SALW?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	36.1. What kind of assistance do you require?		
	36.2. Has your country developed a project proposal for assistance?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

SECTION 7: MARKING AND RECORD KEEPING

Sources	Question	YES	NO
Marking			
PoA II.8	37. Does your country enforce measures to prevent the manufacture, stockpiling, transfer and possession of any unmarked or inadequately marked SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	37.1. Details		
	38. Has your country developed a national system for marking government-owned SALW?		
ITI 8d	39. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	39.1. Describe the markings that are applied to government-held stocks.		
	39.1.1. Describe common marking techniques applied to SALW in your country.		
	39.1.2. What information is included in the marking (check relevant boxes)?		
OSCE SALW Doc II (B)	a) Name of the manufacturer		
	b) Country of manufacture		
	c) Serial number		
	d) Year of manufacture		
	e) Weapon type/model		
	f) Caliber		
	g) Proofing (testing)		
	h) Other		
ITI 8c	39.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your country transferred the stocks?		
ITI 8e	40. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?		
	40.1. Details		
	41. Does your country have a policy on marking unmarked weapons?		
	41.1. If yes, what is your country's policy on marking unmarked weapons?		
	A) Seized unmarked weapons:		
	i) Such weapons are destroyed		
	ii) Such weapons are marked by [enter name of responsible agency]		
	iii) No formal policy		
	iv) Additional information		
	B) Unmarked SALW found in stocks of armed forces, police or other state security forces:		
	i) Such weapons are destroyed		
	ii) Such weapons are marked by [enter name of responsible agency]		
	iii) No formal policy		
	iv) Additional information		
Record-keeping			
PoA II.9	42. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	42.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)? -Information on name, serial number, type, caliber, exporter and place of delivery		
ITI 12a. b	42.2. How long does the State/government keep such records?		

	42.3 Does your country maintain a central register of state-owned SALW?		
ITI 13	42.4. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?		
International Assistance			
PoA III.6; ITI 27	43. Does your country wish to request assistance in building capacity for record-keeping?		
	43.1. What kind of assistance do you require?		
	43.2. Has your country developed a project proposal for assistance?		

SECTION 8: INTERNATIONAL TRACING

Sources	Question	YES	NO
Laws, regulations and administrative procedures			
PoA II.10; ITI 14, 24	44. Does your country have procedures in place to trace SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Tracing requests			
	44.1 Has your country ever issued an international tracing request regarding SALW?		
ITI 25: 31a	44.2. Which government agency is responsible for making a tracing request to another country?		
ITI 17	44.3. What information does the designated agency include in a tracing request? (check relevant boxes) a) Circumstances under which the SALW was found b) Reasons why the SALW is considered to be illegal or illicit c) The intended use of the information being sought d) Any markings on the SALW e) Type/calibre of SALW f) Other		
ITI 15	44.4. When receiving information related to SALW as a result of your country's tracing request, does your country have procedures in place to ensure that all restrictions placed on its use are respected, and the confidentiality of such information are guaranteed?		
Responses for tracing requests			
	44.5. Which government agency is responsible for responding to a tracing request from another country?		
	44.6. During the reporting period, how many tracing requests did your country receive?		
ITI 22	44.7. During the reporting period, did your country delay, restrict or refuse tracing requests? a) Delayed b) Restricted c) Refused		
FI122	44.7.1 On what grounds? i) Release of the information would compromise ongoing criminal investigations ii) Violate legislation providing for the protection of confidential information iii) Requesting State cannot guarantee the confidentiality of the information iv) Reasons of national security consistent with the Charter of the United Nations		
Cooperation with INTERPOL			
PoA II.37; ITI 33	45. During the reporting period, has your country cooperated with the International Criminal Police Organization (Interpol)?		
	45.1. If so, in which areas? a) Facilitation of tracing operations conducted within the framework of the ITI. b) Investigations to identify and trace illicit SALW. c) Building national capacity to initiate and respond to tracing requests.		
PoA III.9	45.2. Does your country support/use the the Interpol's Firearms Tracing System (formerly known as IWeTS) for tracing SALW?		
International assistance			

PoA II.36; III.6; ITI 27	46. Does your country wish to request assistance in developing procedures to trace SALW?		
	46.1. What kind of assistance do you require?		
	46.2. Has your country developed a project proposal for assistance?		
PoA III.10; ITI 28	47. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW, and measures to facilitate transfer of such technologies?		
	47.1. Details		

SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCE

Sources	Question	REQ	PRO	PRO
		YES	NO	NO
Assistance requested / received / provided				
PoA III.3, 6	48. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 1-7 above, has your country requested / received / provided assistance to implement the PoA and ITI?			
	48.1 If so, in what areas (check relevant boxes)?			
	a. Establishing/designating National Coordination Agency/National Point of Contact			
PoA III.16	b. Disarmament, demobilization and reintegration (DDR)			
PoA III.6	c. Capacity-building and training on SALW issues			
PoA III.7	d. Law enforcement			
PoA III.7	e. Customs and borders			
PoA III.18	f. Action-oriented Research			
	g. Children/youth			
	h. Awareness raising			
PoA III.15	i. Organized crime, drug trafficking and terrorism			
	j Other			
	48.2. Details of each assistance activity provided/received:			
	a) The nature of the assistance:			
	i) financial			
	ii) technical			
	b) The amount of assistance provided/received (if financial):			
	c) A description of the assistance activity:			
	d) The duration of the assistance provided/received:			
	e) State(s) or organization(s) that provided/received the assistance:			
Any further comments on OSCE Document on SALW, PoA and ITI, including implementation challenges and opportunities?				