

### **Organization for Security and Co-operation in Europe**

Office of the Secretary General Section for External Co-operation



OSCE-Korea Conference 2001: "Applicability of OSCE CSBMs in Northeast Asia" Seoul, 19-21 March 2001



**Consolidated Summary** 

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### I. General Information

### 1. Venue

The Conference, organized by the OSCE and Korea, was held from 19 to 21 March 2001 at the Hotel Shilla, Seoul, Republic of Korea.

### 2. Participation

- 2.1 Thirty OSCE participating States took part in the Conference.
- 2.2 The Partners for Co-operation, Japan, Korea and Thailand were represented as well as five Mediterranean Partners for Co-operation, Algeria, Egypt, Israel, Morocco and Tunisia.
- 2.3 ARF members China and Vietnam participated as guests of the host country.
- 2.4 Representatives of non-governmental organizations were able to attend and contribute to the conference in accordance with the relevant OSCE provisions and practices.

### 3. Timetable and Organizational Modalities

- 3.1 The Conference began at 9.30 a.m. (opening session) on 20 March 2001 and ended at 6.00 p.m. on 21 March 2001.
- 3.2 The Conference was conducted in three sessions.
- 3.3 The opening and concluding sessions were co-chaired by H.E. Ambassador Choi Young-jin, Deputy Minister for Policy Planning & International Organizations of the Republic of Korea, and by H.E. Ambassador Constantin Ene, Personal Representative of the Chairman-in-Office of the OSCE.
- 3.4 Each session had a chairman and rapporteur.
- 3.5 The working language was English.
- 3.6 Arrangements for press coverage were made.
- 3.7 Other rules of procedure and working methods of the OSCE were applied, *mutatis mutandis*, to the Conference.
- 3.8 The seating arrangement is shown in the Annex.

### 4. Agenda and Workplan

### Conference Venue: Dynasty I&II Room, Shilla Hotel

Monday, 19 March 2001

6.30 p.m. Reception hosted by H.E. Mr. Lee Joung-binn, Minister of Foreign Affairs and Trade, Republic of Korea (Emerald Room, Shilla Hotel)

Tuesday, 20 March 2001

### 9.30 a.m. **Opening session**

Co-Chairmen: H.E. Dr. Choi Young-jin, Deputy Minister for Policy Planning and International Organizations, Ministry of Foreign Affairs and Trade (MOFAT), Republic of Korea

H.E. Ambassador Constantin Ene, Representative of the OSCE Chairman-in-Office

Opening address by:

- H.E. Mr. Lee Joung-binn, Minister of Foreign Affairs and Trade, Republic of Korea
- H.E. Ambassador Ján Kubiš, Secretary General of the OSCE

10:15-10:45 a.m. Coffee-break

# 10.45 a.m. Session 1: OSCE confidence- and security-building measures (CSBMs) Chairman: H.E. Ambassador Márton Krasznai, Director of the Conflict Prevention Centre (CPC), OSCE Secretariat Rapporteur: Dr. Jean-Jacques de Dardel, Head of the Section for Euro-Atlantic Security, Federal Department of Foreign Affairs, Switzerland (a) CSBMs: concept and history

Keynote speakers:

- 1. H.E. Ambassador Bo Lundberg on behalf of Ambassador Nils Daag, Head of the Permanent Delegation of Sweden/EU–"From CSBMs to conflict prevention"
- 2. Dr. Wilfried Aichinger, Senior Communications Network Officer, CPC, OSCE Secretariat "A System of CSBMs today"

Discussion

1 p.m. Luncheon hosted by H.E. Mr. Ban Ki-moon, Vice Minister of Foreign Affairs and Trade, Republic of Korea (Dynasty III Room, Shilla Hotel)

3 p.m. Session 1
(b) OSCE CSBMs: lessons learnt
Keynote speakers:

- 1. H.E. Ambassador Reinhard Bettzuege, Head of the Permanent Mission of the Federal Republic of Germany "The Role of the OSCE on the way to German Re-unification a European Example"
- 2. Mr. Oh Joon, Deputy Director-General for Policy Planning, MOFAT, Republic of Korea – "Lessons from OSCE CSBMs: an Asian perspective"
- 3. H.E. Ambassador Guido Lenzi, Head of the Permanent Mission of Italy "The effectiveness of CSBMs in conflict prevention and in crisis management"

Discussion

4.30-5.00p.m. Coffee-break

### 5.00 p.m. Session 2: Regional CSBMs

- Chairman: H.E. Ambassador Hervé Ladsous, Head of the Permanent Representation of France
- Rapporteur: Dr. Wilfried Aichinger, Senior Communications Network Officer, CPC, OSCE Secretariat

### (a) Regional CSBMs in the OSCE

Keynote speakers:

- H.E. Ambassador Márton Krasznai, Director, CPC "Post Cold War and post-conflict CSBMs in Central and Eastern Europe" (Open Skies – Romania and Hungary, cross border CSBMs in Central Europe, and Art II/Annex I-B of the Dayton Peace Agreement)
- 2. Mr. Ivan Soltanovski, Senior Counsellor, Ministry of Foreign Affairs, Russian Federation – "CSBMs in South Eastern Europe"

### (b) **CSBMs in other areas**

Ms. Suchitra Hiranprueck, Director-General for ASEAN Affairs, Ministry of Foreign Affairs, Thailand – "CSBMs in Southeast Asia"

Discussion

6.30 p.m. Reception hosted by H.E. Ambassador Ján Kubiš, Secretary General of the OSCE (Emerald Room, Shilla Hotel)

### Wednesday, 21 March 2001

- 9.30 a.m. Session 3: CSBMs in Northeast Asia
  - Chairman: H.E. Dr. Choi Young-jin, Deputy Minister for Policy Planning and International Organizations, MOFAT, Republic of Korea
  - Rapporteur: Dr. Hong Ki-joon, Research Professor, Graduate School of Pan-Pacific International Studies, Kyung Hee University, Republic of Korea

### (a) Which CSBMs for Northeast Asia?

### Keynote speakers:

- 1. Dr. Barry K. Gills, University of Newcastle, UK
- 2. Dr. Jian Xu, Associate Prof., China Institute of International Studies
- 3. Mr. Takahiro Shinyo, Deputy Director-General European Affairs Bureau, Ministry of Foreign Affairs – "CBMs in North East Asia: Japan's view".

#### Discussion

1 p.m. Luncheon hosted by H.E. Mr. Mircea Dan Geoanã, Chairman-in-Office, Minister of Foreign Affairs, Romania (Dynasty III Room, Shilla Hotel)

#### 3 p.m. (b) Which CSBMs for the Korean peninsula?

Keynote speakers :

- 1. Mr. Scott Snyder, Senior Representative, the Asia Foundation-Korea
- 2. Dr. Lho Kyongsoo, Professor of International Politics, Graduate School of Public Administration, Seoul National University, Republic of Korea

Discussion

5 p.m. Concluding session

Co-Chairmen: H.E. Ambassador Constantin Ene, Representative of the OSCE Chairman-in-Office
 H.E. Dr. Choi Young-jin, Deputy Minister for Policy Planning and International Organizations, MOFAT, Republic of Korea

• Address by H.E. Mr. Mircea Dan Geoanã, Chairman-in-Office, Minister of Foreign Affairs, Romania

Concluding remarks by the Co-Chairmen Rapporteurs' reports Chairmen's summary

### II. Reports by Session Rapporteurs

## Session 1: OSCE confidence- and security-building measures (CSBMs): concept and history, lessons learnt

### Report by Dr Jean-Jacques de Dardel, Switzerland

Session 1 was devoted to a historical overview of CSBMs, which led to a lively discussion of characteristics of the OSCE process that could be of relevance to North East Asia. Five keynote speakers addressed the issues raised by the pragmatic evolution of CSBMs in Europe since the onset of the Helsinki process in 1972. Detailed accounts of the evolving system of CSBMs and its effectiveness in conflict prevention and crisis management were completed by a review of the role of CSBMs on the way to German Re-unification. The Asian perspective was penetratingly brought to bear as lessons were drawn from fundamental aspects of the European experience and its applicability to the North East Asian region.

In the ensuing discussion, it was stressed that the OSCE did not invent new methods as much as it enacted principles already agreed to in the UN Charter. Inasmuch as it refers to these basic terms of reference, the OSCE process is applicable to other parts of the world. This assertion was undisputed, but a closer look at the hurdles European countries have had to overcome to progress on the path of CSBMs was called for so as to enlighten the protagonists of Korean relations. Converging views on the beginnings of the CSCE underlined the pragmatic and step by step approach that avoided large-scale institutionalisation, and favoured a learning-by-doing process.

Psychological aspects were underscored: in those early years marked by cold war and a very high degree of suspicion, the mere idea of opening up was revolutionary. Yet, as we sense today that the Vienna process may be slowing down, we cannot but be made aware of the fact that there must have been specific ingredients, in the early and mid seventies, for that revolutionary process to get underway. Different delegates stressed that both sides felt they had a lot to gain through negotiations, at a time of high tension and confrontation, further heightened by the fear of nuclear conflict and annihilation. That led to parallel levels of negotiations, on confidence building measures through the CSCE, as well as on disarmament through the MBFR process, which, however unsuccessful at first, led to the CFE treaty in 1990. Gradually, minds changed, to the point that bloc members sometimes moved more rapidly than those of the Neutral and Non-Aligned. The present slowing down of this process has to do with the betterment of the overall security situation: CSBMs are no longer as needed as before, because the level of predictability and of security is much higher today.

One delegate outlined the Asian view on what the main ingredients were that started the Helsinki process: first, a recognition of frontiers came about, which stabilised the status quo, unlike the North East Asian situation. Then, the existence of two distinct blocs in Europe made things simpler, when the leaders of those two blocks decided to engage in talks. Furthermore, the neutral and non-aligned nations played a crucial go-between role.

Other delegates expanded on these characteristics by underlining that these ingredients were brought to fruition by the multilateral framework provided by the CSCE, which set up a network of co-operation with no heavy hierarchy. It was argued that however important a role the N+N played, it was that of a catalyst, this being in fact more relevant than their neutral or

non-aligned status. It was further stressed that throughout the block to block approach, the West did not get to a unified position without intensive negotiations. A number of delegates also stressed that the CSCE went through a trial and error process, with some hits, but also some misses. The hits were mainly scored with all elements that raised the predictability of military moves.

One delegate suggested that the Helsinki Final Act found a launching pad in the balance of power previously achieved by the two blocs, which felt that only negotiation could move them away from that high level of mutual menace. Different replies stressed, however, that the powers facing each other had very different views and goals in entering the Helsinki process: the East wanted to secure borders and a status quo, the West pushed for an enhancement of the human dimension, Germany just wanted to become part of the process,... Whatever the motivations, it was a process, which had to start somewhere and be inventive. Moreover, however crucial a balance of power may be when dealing with arms control, that balance is not as necessary for CSBMs, since they can be set up independently from a strategic context. At the end of the day, stressed one delegate, what is most needed is a political will to move forward, be it step by step.

The question was raised as to which CSBMs proved to be failures. CSBMs appear not to be ideal in times of open and rapidly evolving crisis, as was demonstrated in the Balkan region. Furthermore, some measures decided in a certain context may prove ineffective when that context changes, as exemplified by the ceilings on notifications of manoeuvres, which have become largely irrelevant as a result of the general downsizing of forces. On the other hand, some CSBMs, like those aiming at transparency of military budgets, did not seem effective at first, but worked much more effectively at a later stage, and ended up enabling a much better civilian control of the armed forces. It was added that those CSBMs that failed in Europe did so in a special context, thus making it still possible that they would work elsewhere.

The possibilities of issue linkage in the Korean context was addressed by one delegate, who stressed that inter Korean talks have concentrated on three areas, namely economic co-operation and exchange, tension reduction and humanitarian matters. Whereas progress was registered in all three areas, it was of an uneven level, tension reduction being least advanced. Issue linkage will only be possible when dialogue develops further. Another delegate stressed the notion of flexible reciprocity instead of issue-linkage, and showed himself to be optimistic on prospects of confidence building with North Korea, by focussing on similarities between the two Koreas. In this context, and contrary to conventional wisdom, which has it that a gradual approach is to be favoured in most circumstances, he suggested that in Korea a more drastic approach be called for.

The chairman wrapped up the proceedings by underlining that all speakers had emphasised that there could be no mechanical adaptation of the European experience to other regions. Only home grown solutions can be effective. Lessons that can be carried over to the Korean situation are that setbacks and disappointments should not discourage from further attempts, and that political will is the key to an institutionalised dialogue.

### Session 2: Regional CSBMs

### Dr. Wilfried Aichinger, Conflict Prevention Centre, OSCE Secretariat

By way of introduction, the Chair of sessions 2 a) and b) mentioned new threats being addressed by the OSCE, among them, *inter alia*, trafficking in human beings and control over scarce resources such as water in some areas. These issues, while not afflicting all participating States, certainly have the potential to be of concern to some of them. This illustrates the fact that, apart from a body of measures applicable to all, it was useful to consider CSBMs specific to issues involving regions or sub-regions.

After recalling the establishment of the Conflict Prevention Centre (CPC) one decade ago, the first keynote speaker stressed the importance of negotiated CSBMs bilaterally or at a sub-regional level to complement the achievements reached under the CFE Treaty. These new regimes, among them the very encouraging Open Skies Agreement between Hungary and Romania, were tailor-made CSBMs that helped, at very little cost, in stabilizing the relations of Central and East-European States during a difficult transition phase.

The Dayton accords for the settlement in Bosnia and Herzegovina included a wide variety of CSBMs that provided for a relatively smooth implementation of the agreements in comparison to political obstacles which so far have hindered the completion of the stabilization process in the Balkans.

On the topic of CSBMs in South-Eastern Europe the keynote speaker stressed the importance of lessons learned in sub-regional arms control in general, and in Bosnia and Herzegovina in particular, with regard to the applicability of arms control measures in implementing new agreements. These measures in post-conflict stabilization are more likely to be successful if they meet the interests of all parties involved and are oriented towards integration in a pan-European security approach. To this end, the successful completion of Article V negotiations is of central importance.

The keynote speaker on CSBMs in Southeast Asia, related to the fact that regional measures in the context of the Association of Southeast Asian Nations (ASEAN) and the ASEAN Regional Forum (ARF) focused on CBMs rather than CSBMs, although the distinction was mainly a scholarly one. CBMs have successfully helped advance the notion of comprehensive security in the region and both South and North Korea should take advantage of their participation in the ARF framework to foster implementation of bilateral CBMs.

A delegation stressed the importance of Thailand in promoting CBMs as politically binding, voluntary, and information-oriented measures furthering transparency. Likewise, it stressed the role of neutral and non-aligned countries as catalysts, co-ordinators or mediators during CSBM negotiations.

The concept of CBMs, in the light of experiences gained during an ARF seminar in Helsinki last October, had to supersede the military dimension, and required systemization, categorization and prioritization. It was stated that CSBMs are not a panacea and do not work without the political will of the parties concerned.

The importance of the ARF framework cannot be stressed enough because of the North Korean participation in it. As to whether North Korea was interested in increased transparency, participants questioned this since North Korea has not been prepared to repeat its participation in a second similar seminar.

On the question of CBMs developed in the ARF context, clarification was provided that these measures were not considered to be "ad hoc" approaches but reflected the voluntary nature of the measures.

Regarding the concern that CSBMs might violate the principles of non-intervention in internal affairs as well as the sovereignty of individual countries, the view was expressed that participating States entered these commitments on a voluntary basis, thereby rendering this concern obsolete. Likewise, it was mentioned that a gross violation of human rights in one country was a legitimate concern for all participating States because it had the potential of destabilizing the political situation, thereby endangering the security of neighbouring states.

### Session 3: CSBMs in Northeast Asia

### Report by Dr. Hong Ki-joon, Republic of Korea

During Session 3, participants engaged in a substantial discussion on CSBMs in Northeast Asia under the conceptual framework of the Concept Paper prepared for this Conference. The discussions particularly focused on the topic of which CSBMs are relevant for Northeast Asia in general and for the Korean Peninsula in particular. In doing this, participants used the discussions in the previous sessions about the European experiences in CSBMs as a valuable basis.

With regard to the question of CSBMs for Northeast Asia, participants agreed that European CSBMs are becoming a more relevant and realistic option for Northeast Asia than ever before, particularly due to the recent progress in the security environment in the region. Participants especially highlighted the positive impact of the recent breakthroughs in inter-Korean relations on the security relations in Northeast Asia as a whole. In this regard, they welcomed the normalization of relations between North Korea and a number of countries.

In the same vein, it was stressed that the international community should continue to support the engagement policy of South Korea and that the participation of North Korea in multilateral fora in Northeast Asia was essential. Participants underlined the importance of encouraging North Korea to participate in such fora and the international community as a whole. A number of delegations expressed their regret that North Korea, a key player in Northeast Asian security relations, was not present at this significant Conference.

It was noted, however, that the lessening of tension on the Korean Peninsula would not directly lead to the emergence of a multilateral security co-operation in Northeast Asia. Some participants pointed out that some preconditions still need to be met. Particularly, it was stressed that normalization of bilateral diplomatic relations between all states in the region is imperative for the further development of multilateralism in the region. Others expressed the view that rather than asking the question whether the conditions were right for CSBMs, it was worthwhile to endeavour to create the conditions. Participants underlined that CSBMs can be approached in a creative manner and can evolve from very small steps. During the discussion it was generally recognized that political will is the most important ingredient for CSBMs for Northeast Asia. One delegation put forward the idea of an OSCA, an Asian organization modelled on the OSCE. The view was expressed that the ARF may be said to be an embryo of the OSCA.

As a first step toward CSBMs in Northeast Asia, it was suggested that 'a habit of dialogue' should be established in the region. In this regard, they recognized the value of existing fora such as ASEAN+3, APEC and the ARF, the importance of which was underlined several times particularly following North Korea's admission to this, the only formal multilateral security forum in the Asia-Pacific. It was hoped that the ARF could play a bridge-building role between North and South Korea. In addition, several participants underlined that the existing framework of Four Party Talks needs to be successfully completed. In pursuit of security co-operation in Northeast Asia, it was emphasized that the Northeast Asian region requires permanent and effective regular consultation mechanisms to enhance the feeling of

mutual trust and thus build confidence in security. In doing this, United Nations' universal principles can be a guideline for regional co-operation.

In the discussion, participants agreed that a comprehensive approach is important to tackle not only political and military issues, but also environmental, economic and humanitarian issues in the same framework. This is strongly supported by the European experience that the strategy of issue linkage was effective when vested interests were in conflict between different issues.

With regard to the question of CSBMs for the Korean Peninsula, participants noted that even though both North and South Korea agreed on some CBMs in the 1992 Basic Agreement, this has not yet been implemented. They pointed out that the absence of CSBMs on the Korean Peninsula is not due to any lack of understanding about their utility, but simply due to a lack of will to implement them. However, the inter-Korean summit in June of 2000 has sparked interest in new possibilities for building confidence and reducing tension between the two sides on the Korean Peninsula.

It was pointed out that since the inter-Korean summit meeting, incentives for CSBMs on the Korean Peninsula are increasing on both sides. For North Korea, for example, economic pressure from military build up, increasing military strength of the South in military technologies and strategies and a strategic change of attitude vis-à-vis US forces stationed in the South are increasing North Korea's incentive for CSBMs. Meanwhile, South Korea has incentives for CSBMs simply because its foremost strategic interest lies in reducing the danger of another surprise attack from North Korea.

From this point of view, a participant put forward a brief catalogue of possible CSBMs on the Korean Peninsula. It was also mentioned that in order to establish CSBMs on the Korean Peninsula, institutionalization of a sustainable process for instituting concrete CSBMs, verification by a mutually acceptable third party, and political approval at the top level are necessary.

It was also mentioned that the psychological aspect of CSBMs is important especially in dealing with North Korea. In this sense, international efforts need to be made in order to engage North Korea in a multilateral security dialogue in Northeast Asia.

It was noted that it may be argued that one precondition for CSBMs may be recognition of the status quo. One participant noted that there may be a potential dichotomy between the political acceptance of the status quo and the desire for unity.

Participants stressed the importance of North Korea seeing that they will gain from CSBMs and the importance of keeping the momentum going to ensure the process does not backslide was stressed. They needed to step into a longer perspective. Bilaterally attempts could be made to ensure that one thing leads to another and soft CBMs can lead to further measures. Some things can only be done bilaterally but there is a need for multilateralism. It was emphasized that institution-building and issue linkage would help to prevent backsliding.

The first keynote speaker noted that there had been attempts at inter-Korean dialogue which had been reactions to changes in the environment, such as in the early 70s and early 90s but that this series of sporadic dialogues did not develop and there was no institutionalization. Following the Inter-Korean Summit, to sustain the process it was necessary to commit to

steps concretely: they needed a practical, concrete, institutionalized process, with CSBMs. Yet the Summit had revealed some mismatches. The South Korean side has preferred an incremental approach, institutionalization whereas the North Korean system requires a process at the leadership level. There is a very interesting and complex system mismatch. It is only the top leaders in North Korea who can drive such a process and yet there is a need for institutionalization. He emphasized that the key to addressing the systematic mismatch, this asymmetry, is combining the political and incremental. There was a need to build in concrete measures.

The second keynote speaker noted that previous attempts at CSBMs had failed due to lack of will but North Korea now had strong incentives.

### Co-Chairmen's Summary

Upon the invitation of the Government of the Republic of Korea and by the decision of the Permanent Council of the Organization for Security and Co-operation in Europe (OSCE) of 9 November 2000, the 'OSCE-Korea Conference 2001' on the 'Applicability of OSCE CSBMs in Northeast Asia' was held in Seoul on 19-21 March 2001. Representatives of OSCE participating States, Partners for Co-operation, Mediterranean Partners for Co-operation and two Asian countries invited by the host, as well as various NGOs, participated. The objective of the Conference was to enhance understanding of multilateral security co-operation in the OSCE region, as well as to focus on perspectives for information and experience sharing in co-operation between the OSCE and Asia, notably in the fields of CBMs and CSBMs within the framework of co-operative and comprehensive security.

The Conference was opened by H.E. Lee Joung-binn, Minister of Foreign Affairs and Trade of the Republic of Korea, and H.E. Ambassador Ján Kubiš, Secretary General of the OSCE. The opening and concluding sessions were co-chaired by H.E. Ambassador Choi Young-jin, Deputy Minister for Policy Planning & International Organizations of the Republic of Korea, and by H.E. Ambassador Constantin Ene, Personal Representative of the Chairman-in-Office of the OSCE. At the concluding session, H.E. Mircea Dan Geoanã, Chairman-in-Office, as Minister of Foreign Affairs of Romania, made an address.

The work of the Conference was conducted in three sessions: "OSCE Confidence- and Security-Building Measures (CSBMs)" (Session 1), "Regional CSBMs" (Session 2), and "CSBMs in Northeast Asia" (Session 3). Each session was chaired by a separate Chairman and dealt with different topics on which a rapporteur presented a report. The topics were as follows:

- · CSBMs : concept and history
- · OSCE CSBMs : lessons learnt
- · Regional CSBMs in the OSCE
- · CSBMs in other areas
- • Which CSBMs for Northeast Asia?
- · Which CSBMs for the Korea Peninsula?

The Minister of Foreign Affairs and Trade of the Republic of Korea, in his opening address, underlined that the holding of the first joint OSCE-Korea Conference was a valuable opportunity, at this time of change in the security environment in Northeast Asia, to enhance common awareness of the necessity of addressing security challenges through regional security co-operation. He further mentioned that, following OSCE CSBMs, the countries of Northeast Asia needed to take a significant step toward the launch of a regional dialogue in Northeast Asia. In addition, he stressed that the new mood of reconciliation between South and North Korea should be continued so that it will lead to the establishment of a regime of lasting peace on the Korean Peninsula through mutual confidence building.

In his address, the OSCE Chairman-in-Office, the Romanian Minister for Foreign Affairs, focused on the special significance of the Conference, which provides an opportunity to present OSCE experiences and lessons learnt from them. The CiO emphasized that enriching and stimulating discussions, and intensive dialogue on matters of common interest during the Conference, would serve to strengthen partnership. Even if the OSCE model may not be applied directly to the promotion of confidence-building in this part of the world, it will no doubt serve as a useful reference point from which many valuable lessons may be learnt. The CiO concluded that the key message of this Conference should be the need to further develop the multifaceted dialogue between the OSCE and its Partners for Co-operation, to encourage and to creatively continue the search for appropriate forms of co-operation between the countries from their region to complement existing ones.

The Secretary General of the OSCE stated that the Conference reflected the increasing interest in Northeast Asia in the achievements of the CSCE/OSCE in its comprehensive and co-operative approach to security. The OSCE's experiences could not serve as a model to be mechanically replicated in Northeast Asia or anywhere else, but are certainly applicable. The content and structure of an indigenous CBM regime, with its institutional mechanisms of co-operation and dialogue, could only be developed by the interested parties, and could not be directly imported. The Secretary General added that the OSCE and its Secretariat, namely the Conflict Prevention Centre (CPC) stood ready to share its experiences and technical expertise gained in the course of providing support to the OSCE-wide CSBM regime. The situation on the Korean Peninsula, which provides a fertile environment for furthering comprehensive CBMs, calls for the sharing of experience on confidence-building measures, contributing to mutual reassurance, transparency, predictability, improved contacts and dialogue.

In **Session 1** on "OSCE Confidence- and Security-Building Measures (CSBMs)," five keynote speakers focused on both the history and evolution of confidence- and security-building measures, as well as on their effectiveness or lessons learnt. Discussions pointed out the role and importance of the OSCE CSBMs, designed to reduce mistrust or misunderstanding between participating States. They revealed that the OSCE is now undoubtedly the organization with the greatest experience in these measures. It disposes of a panoply of instruments negotiated and refined over the years, that have contributed to fostering ties between all participating States. Some of these measures have developed through the adoption of new ones that increased transparency and mutual trust. The OSCE's comprehensive approach to security has resulted in new types of confidence-building measures.

In **Session 2** on "Regional CSBMs," participants dealt with the development of regional CSBMs in the OSCE and in other regions, including Southeast Asia. Several specific regional experiences of CBMs and CSBMs were presented, as possible sources of inspiration to Northeast Asia. The need for regional initiatives to be developed in full transparency towards neighbouring countries and to be complementary with wider efforts, was stressed. Discussions also centered on the effectiveness of CSBMs in improving the security environment in the region. It was agreed that the political will to initiate and to keep the multilateral co-operation process going is a key element in building a security dialogue in the region. Participants further acknowledged that a comprehensive and step-by-step approach to security, and linkages between different elements of security had proved to be valuable lessons to be learnt.

In **Session 3** on "CSBMs in Northeast Asia," there was general recognition that the security environment in Northeast Asia has been enhanced since the inter-Korean Summit last June. Participants noted that in the wake of the developments on the Korean Peninsula there is growing awareness of the necessity for regional efforts to address common security challenges. They also noted that the time is ripe for Northeast Asia to consider a forum for multilateral dialogue as a way to address diverse security issues. However, some participants expressed the opinion that there are still some limitations for various reasons, such as its diversity and lack of institutional mechanism, to the establishment of a regional security arrangement in Northeast Asia. In this regard, participants shared the view that political will and common understanding of the need for security co-operation is an essential first step towards the institutionalization of such an endeavour.

Participants shared the view that the dramatic breakthrough in inter-Korean relations in the year 2000 has paved the way for tension-reduction and reconciliation on the Korean Peninsula. They also expressed their support for the Republic of Korea's policy of reconciliation and co-operation toward North Korea and hoped this would continue to be developed to yield substantive results. Participants agreed that, in order to build upon this, in addition to positive progress within the two Koreas, the increased engagement of the international community was important. In particular, they underlined that a CSBMs regime specifically designed to meet the needs of the actors in Northeast Asia could play a coordinating role to this end, as evidenced in the European experience. Thus, it would be of great value to explore and implement applicable CSBMs of the OSCE in Northeast Asia. However, they noted that there were challenges to be faced on the path to realizing these efforts and that patience and co-operation on the part of the two Koreas would be crucial if such efforts were to bear fruit.

In their concluding remarks, the Co-Chairmen stated that, while generating impetus for wider dialogue, the Conference served as an opportunity to enrich debates, exchange views, and raise ideas and suggestions, thus contributing to the development of the OSCE dialogue with its Partners for Co-operation and constituting a valuable contribution to the dialogue within the region. The OSCE experience has shown that in overcoming numerous obstacles dialogue is essential as a means to build bridges.

Participants expressed their gratitude to the Government of the Republic of Korea for coorganizing and hosting this Conference as well as for their excellent preparations and warm hospitality.

### III. List of Participants

### **OSCE** Participating States

### Germany

Ambassador Reinhard Bettzuege	Permanent Representative to the OSCE	
Ambassador Dr. Hubertus von Morr	Embassy, Seoul	
Ms. Beatrix Kania	Desk Officer, Disarmament & Arms Control	
	Directorate, MFA	
Oberstleutnant Bernd Giebner	Military Attaché, Seoul	
Dr. Peter Mayer	Friedrich-Ebert-Stiftung, Seoul	
Mr. Oliver Schramm	Counsellor, Seoul	
Mr. Gerhard Mayer	Counsellor, Seoul	
United Sta	m Counsellor, Seoul Counsellor, Seoul United States of America re Chargé d'Affaires, Embassy, Seoul beck Political External Section, Chief, Embassy, Seoul rwood Political External Section, Deputy Chief, Embassy, Seoul Foreign Affairs Officer, Office of Conventional Arms Control, Bureau of Arms Control, US Dep. of State, Washington Itz Foreign Affairs Specialist, East Asia & Pacific Region, Bureau of Non-proliferation, US Dep. of State, Washington I Richard Tracey U.S. Army – Chief Strategy Branch, Strategy and Policy Division – USFK Austria enhofer Chargé d'Affaires a.i., Embassy, Seoul Azerbaijan Desk Officer, Dep. of Security Problems, MFA Belarus ov First Vice-Foreign Minister, Minsk Embassy, Seoul	
Mr. Evans J. Revere	Chargé d'Affaires, Embassy, Seoul	
Mr. Brian L. Goldbeck	Political External Section, Chief, Embassy,	
	Seoul	
Mr. Thomas Underwood	Political External Section, Deputy Chief,	
	Embassy, Seoul	
Ms. Donna Phelan	Foreign Affairs Officer, Office of	
	Conventional Arms Control, Bureau of Arms	
	Control, US Dep. of State, Washington	
Ms. Kathryn Schultz	Foreign Affairs Specialist, East Asia & Pacific	
	Region, Bureau of Non-proliferation, US Dep.	
	of State, Washington	
Lieutenant Colonel Richard Tracey	U.S. Army – Chief Strategy Branch, Strategy	
	and Policy Division – USFK	
Α	ustria	
Mr. Othmar Wiedenhofer	Chargé d'Affaires a.i., Embassy, Seoul	
Aze	erbaijan	
Mr. Fariz Rzayev	Desk Officer, Dep. of Security Problems,	
	MFA	
B		
Mr. Sergei Martynov	First Vice-Foreign Minister, Minsk	
Ambassador Alexsandr Semeshko	Embassy, Seoul	
Be	elgium	
Ambassador Koenraad Rouvroy	Embassy, Seoul	
Mr. Paul Jansen	First Secretary, Embassy, Seoul	
Bu	ılgaria	
Ambassador Dimiter Ikonomov	Embassy, Seoul	
Mr. Valeriy Arzhentinski	Minister, Embassy, Seoul	
	anada	
Dr. Eber Rice	Counsellor, Embassy, Seoul	
Colonel Luc Bujold	Military Adviser,	
	Delegation to the OSCE, Vienna	
	nmark	
Ambassador Leif Donde	Ambassador, Seoul	

Lieutenant Colonel Manuel Morato Mr. Ignacio Monge

Ambassador Lauri Korpinen Mr. Ari Tasanen Ms. Leena Ritola

Ambassador Hervé Ladsous Brigadier General Marc Bottine

Mr Serge Lavroff Mr. Jean-Phillippe Godard Mrs. Catherine Clement

Mr. Paul Flaherty Mr. Michael Cowin

Prof. Dr. Barry Gills

Ambassador Constantin Fotilas Ms. Vassiliki Dicopoulou

Ambassador Bélo László Dr. István Perosa

Ambassador Paul Murray Mr. Peter Ryan

Ambassador Guido Lenzi Ambassador Carlo Trezza Mr. Fabrizio Pignatelli della Leonessa

Ambassador Torolf Raa Ms. Toril Langlete

Ambassador Vitali Fen Mr. Bakhtier Ibragimov

Ambassador Hein de Vries Mr. Gert Heijkrop

Mr. Jaroslaw Ksiazek

--18---Spain Ministry of Defence, Madrid Secretary of Embassy Finland Embassy, Seoul Deputy-Director, Security Policy, MFA First Secretary/Deputy Head of Mission, Embassy, Seoul France Permanent Representative to the OSCE Senior Military Advisor, Delegation to the **OSCE** Embassy, Seoul Defence Attaché, Embassy, Seoul First Secretary, Embassy, Seoul **United Kingdom** Counsellor, UK Delegation to OSCE Research Analyst, North Asia and Pacific Research Group, Foreign and Commonwealth Office University of Newcastle, Dept. of Politics Greece Embassy, Seoul

Counsellor, Embassy, Seoul

### Hungary

Embassy, Seoul Counsellor, Deputy Chief of Mission, Embassy, Seoul

### Ireland

Embassy, Seoul Embassy, Seoul

### Italy

Permanent Representative to the OSCE Embassy, Seoul

Embassy, Scoul

### Norway

Embassy, Seoul First Secretary, Embassy, Seoul

### Uzbekistan

Embassy, Seoul

First Secretary, Embassy, Seoul

### Netherlands

Embassy, Seoul

Counsellor, Embassy, Seoul

### Poland

Charge d' Affairs a.i./Counsellor Embassy, Seoul

### Portugal

Ambassador Fernando Jose Ramos Machado E

Embassy, Seoul

### **Romania/OSCE** Chairman-in-Office

H.E. Mr. Mircea Dan Geoanã Ambassador Constantin Ene Ambassador Viorel Isticioaia-Budura Ms. Paraschiva Bãdescu Mr. Gheorge Gradinaru Ms. Tatiana Isticioaia Mr. Cristian Teodorescu Mr. Alexander Alekseev Mr. Ivan Soltanovski Ambassador Peter Sopko Mr. Jan Chladek Ambassador Bo Lundberg Mr. Kaarlo Laakso Mr. Luc Vandebon Mr. John Sagar Dr. Jean-Jacques de Dardel Mr. Aldo de Luca Ambassador Ivan Hotek Mr. Filib Skaba Ambassador Tomur Bayer Mr. Cinar Ergin Ms. Oya Ergin Mr. Oleksii Stepanov Dr. Takahiro Shinyo

Mr. Koichi Aiboshi Mr. Kazuya Otsuka

Mr. Tomotaka Yoshimura

Chairman-in-Office of the OSCE, Minister of Foreign Affairs of Romania, Personal Representative of the C-I-O, MFA Embassy, Seoul Special Advisor to the C-i-O, MFA Political Counsellor, Embassy, Seoul Expert, OSCE Affairs, Embassy, Seoul Director, Asia-Pacific Division, MFA **Russian Federation** Deputy Director, Department of European Cooperation, MFA Acting Head of Division on Conventional Armaments and CSBMs, Dep. for Security Affairs and Disarmament, MFA **Slovak Republic** Embassy, Seoul Embassy, Seoul Sweden/EU Embassy, Seoul Counsellor, Embassy, Seoul European Commission Minister Counsellor, Seoul Political Analyst, Seoul Switzerland Head, Euro-Atlantic Security Section, Federal Department of Foreign Affairs Counsellor, Embassy, Seoul **Czech Republic** Embassy, Seoul Embassy, Seoul Turkev Embassy, Seoul Second Secretary, Embassy, Seoul Third Secretary, Embassy, Seoul Ukraine First Secretary, Embassy, Seoul **Partners for Co-operation** 

### Japan

Head of Delegation, Deputy Director-General, European Affairs Bureau, MFA Counsellor, Embassy, Seoul Assistant Director/Major, First Western European Division, European Affairs Bureau, MFA Official, National Security Policy Division, Foreign Policy Bureau, MFA Ms. Takako Ueta

H.E. Mr. Joung-binn Lee Dr. Young-jin Choi Mr. Joon Oh Mr. Sang-mo Yeon Mr. Dong-ik Shin Mr. Chang Beom Kim Mr. Jeong-hyun Ryu Mr. Jo-young Jeon Ms. Eun-ji Seo Mr. Jong Kwon Youn Col. Hyun-kun Yoon Mr. Kyung-soo Kim Lt.Col. Won-woo Lee Mr. Kyong-soo Lho

Ms. Suchitra Hiranprueck Ambassador Sorayouth Prompoj Ambassador Vachai Vannasinn Ms. Vimon Kidchob Advisor, Professor, International Christian University

### Korea

Minister, MOFAT, Seoul Dep. Minister, MOFAT, Seoul Dep. DG, MOFAT, Seoul Counsellor, Embassy, Vienna Counsellor, Permanent Mission, Geneva Director, MOFAT, Seoul Dep. Director, MOFAT, Seoul Asst. Director, MOFAT, Seoul Asst. Director, MOFAT, Seoul Asst. Director, MOFAT, Seoul Professor, National Defence University Researcher, KIDA Officer, Arms Control Bureau, MND Professor, Seoul National University

### Thailand

Director-General, ASEAN Affairs, MFA Embassy, Vienna Embassy, Seoul Director, European Affairs Division 1, MFA

### Mediterranean Partners for Co-operation

Algeria

Egypt

Israel

Morocco

Tunisia

Embassy, Seoul Counsellor, Seoul

Embassy, Seoul

Embassy, Seoul

Embassy, Seoul

Counsellor, Embassy, Seoul

Chargé d' Affairs, Embassy, Seoul

Deputy Chief of Mission, Embassy, Seoul

Deputy Head of Mission, Embassy, Seoul

Ambassador Ahmed Boutache Mr. Djamal Boutiab

Ambassador Hussein Derar

Mr. Eli Shaked Mr. Ariel Shafransky

Ambassador Jaafar Alj Hakim Mr. Kamal Arifi

Ambassador Mondher Jemail Mr. Sadok Bou Ali

### **Organization for Security and Co-operation in Europe**

### **OSCE** Secretariat

H.E. Ambassador Ján Kubiš Ambassador Márton Krasznai Dr. Wilfried Aichinger Ms. Elizabeth Abela OSCE Secretary General Director, Conflict Prevention Centre Senior Communications Network Officer Adviser, Office of the Secretary General

OSCE Korea Conference 2001 Seoul 19-21 March 2001

### Guests of the Host Country from the ASEAN Regional Forum

Dr. Jian Xu

Mr. Sang hyun Lee

Mr. Tai Hwan Lee

China

Associate Professor, China Institute of International Studies, Beijing

### Vietnam

Ambassador Nguyen Van Xuong Mr. Nguyen Van Hung

### Embassy, Seoul Embassy, Seoul

**Research Fellow** 

**Research Fellow** 

### Non-Governmental Organizations and Scientific Institutions

### The Asia Foundation

Mr. Scott Snyder	Representative to Korea, Seoul							
Graduate School of Asia Pacific Studies								
Dr. John B. Kotch	Associate Professor, Hanyang University,							
	Seoul							
Prof. Dennis C. Florig	Associate Professor of American Studies							
-	Hanyang University, Seoul							
The Institute of Foreign Affair	s and National Security (IFANS)							
Mr. Hong-Kyoo Park	Professor, IFANS							
Ir. Seo-Hang Lee Professor, IFANS								
The Institute of Korean Studies								
Mr. Myong-Whai Kim	President, Seoul							
Institute for Science and International Security (ISIS)								
Ms. Holly Higgins	Research Analyst							
National Institute for Research Advancement (NIRA)								
Dr. Akiko Fukushima	Advisor, NIRA							
Sejong	Institute							
Mr. Des Grans Grans	Service Descende Dellesse							
Mr. Dae Sung Song	Senior Research Fellow							
Mr. Hyun Ik Hong	Research Fellow							
Mr. Dae Woo Lee	Research Fellow							

### **IV.** List of Documents distributed during the Conference<sup>\*)</sup>

#	Date	Author/Institution	Title	Language
001	19 March	Republic of Korea	Concept Paper	English
002	19March	OSCE- Republic of Korea	Agenda and Workplan	English
003	19 March	OSCE Secretariat	Preliminary List of Participants	English
004	20 March	H.E. Mr. Joung-binn Lee, Minister of Foreign Affairs and Trade, Republic of Korea	Opening Statement	English
005	20 March	Amb. Jan Kubis, OSCE Secretary General	Opening Statement	English
006	20 March	Amb. N Daag, Sweden/EU	Key-note statement	English
007	20 March	Conflict Prevention Centre(CPC), OSCE Secretariat	Compilation of CSBMs	English
008	20 March	Mr. Oh Joon, Republic of Korea	Key-note statement	English
009	20 March	Amb. Guido Lenzi, Italy	Key-note statement	English
010	20 March	Dr. Wilfried Aichinger, CPC, OSCE Secretariat	Key-note statement	English
011	20 March	Amb. Rainer Bettzuege, Germany	Key-note statement	English
012	20 March	Ms. Suchitra Hiranprueck, Thailand	Key-note statement	English
013	20 March	Amb. Marton Krasznai, Director, Conflict Prevention Centre, OSCE Secretariat	Key-note statement	English
014	21 March	Mr Ivan Soltanovski, Russian Federation	Key-note statement	English
015	21 March	Mr Air Tasanen, Finland	Statement	English
016	21 March	Dr Barry Gills, Newcastle University, UK	Key-note statement	English
017	21 March	Mr Takahiro Shinyo, Japan	Key-note statement	English
018	21 March	Dr Scott Snyder, Korea Representative, The Asia Foundation	Key-note statement	English
019	21 March	Mr Kyongsoo Lho, Seoul National University, Republic of Korea	Key-note statement	English
020	21 March	Dr. Jian Xu, China Institute of International Studies, Beijing	Key-note statement	English
021	21 March	OSCE Secretariat	Final List of Participants	English
022	21 March	Russian Federation Delegation	Shangai Five Group – contribution to discussion on CSBMs in other regions	English
023	21 March	Egypt	Statement	English
024	21 March	H.E. Mr Mircea Dan Geoanã, Chairman-in-Office, Minister of Foreign Affairs of Romania	Address	English
025	21 March	South and North Korea	Agreement on Reconciliation, Nonaggression, and Exchanges and Cooperation between South and North Korea	English
026	21 March	Co-Chairmen	Summary	English

\*) Documents are available upon request from Documents Distribution, Conference Services

### Annex

Seating Arrangement

